UNITED STATES DEPARTMENT OF COMMERC United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

09/23/2004

MARGER JOHNSON & McCOLLOM, P.C. 1030 S.W. Morrison Street Portland, OR 97205

| | |
|------------------|--|
| EXAMINER | |
| LE, THAO P | |

ART UNIT

PAPER NUMBER

2818

DATE MAILED: 09/23/2004

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/624,270 | 07/21/2003 | Ji-Young Kim | 4591-332 | 7485 |

TITLE OF INVENTION: SEMICONDUCTOR DEVICE WITH SELF-ALIGNED JUNCTION CONTACT HOLE AND METHOD OF FABRICATING THE SAME

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO | \$1330 | \$300 | \$1630 | 12/23/2004 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

| | | | or <u>F</u> | <u>ax</u> (703) 746-4000 | | |
|--|--|---|--|--|---|--|
| INSTRUCTIONS: This for appropriate. All further cor indicated unless corrected by maintenance fee notification | m should be used for tran respondence including the selow or directed otherwise as. | smitting the ISSU Patent, advance of in Block 1, by (a | JE FEE and P rders and notif a) specifying a | UBLICATION FEE (if required ication of maintenance fees new correspondence address | uired). Blocks 1 through 5 s will be mailed to the current s; and/or (b) indicating a sep | should be completed where correspondence address as arate "FEE ADDRESS" for |
| CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) | | | Note: A certificate of Fee(s) Transmittal. To papers. Each addition | f mailing can only be used f his certificate cannot be used al paper, such as an assignm | or domestic mailings of the for any other accompanying ent or formal drawing, must | |
| 75 | 90 09/23/2004 | | | have its own certifica | al paper, such as an assignm te of mailing or transmission. | ······································ |
| MARGER JOHNSON & McCOLLOM, P.C. 1030 S.W. Morrison Street Portland, OR 97205 | | | | Ce I hereby certify that t States Postal Service addressed to the Ma transmitted to the US | rtificate of Mailing or Tran his Fee(s) Transmittal is bein with sufficient postage for fi il Stop ISSUE FEE address PTO (703) 746-4000, on the | smission g deposited with the United st class mail in an envelope above, or being facsimile date indicated below. |
| | | | | | | (Depositor's name) |
| | | | | | | (Signature) |
| | | | | | | (Date) |
| APPLICATION NO. | FILING DATE | | FIRST NAMED | INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| 10/624,270 | 07/21/2003 | | Ji-Young | Kim | 4591-332 | 7485 |
| TITLE OF INVENTION: SI | EMICONDUCTOR DEVIC | E WITH SELF-AI | LIGNED JUNG | CTION CONTACT HOLE A | ND METHOD OF FABRICA | TING THE SAME |
| APPLN, TYPE | SMALL ENTITY | ISSUE F | EE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
| nonprovisional | МО | \$1330 | 0 | \$300 | \$1630 | 12/23/2004 |
| EXAM | INER | ART UN | IIT | CLASS-SUBCLASS |] | |
| LE, TI | łao p | 2818 | | 257-621000 | | |
| Change of correspondence address or indication of "Fee Address" (3 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Custome Number is required. | | Correspondence | (1) the nam or agents O (2) the nam registered a 2 registered | ing on the patent front page, less of up to 3 registered pate R, alternatively, e of a single firm (having as ttorney or agent) and the nar patent attorneys or agents. It is well be printed. | nt attorneys 1 a member a 2 nes of up to | |
| 3. ASSIGNEE NAME AND | | | | • •• • | | |
| PLEASE NOTE: Unless recordation as set forth in | an assignee is identified be 37 CFR 3.11. Completion | elow, no assignee of this form is NO | data will appe T a substitute fo | ar on the patent. If an assign filing an assignment. | nee is identified below, the o | locument has been filed for |
| (A) NAME OF ASSIGNI | EE | (B | 3) RESIDENCI | E: (CITY and STATE OR CO | OUNTRY) | |
| | | ries (will not be pr | inted on the pa | tent): 🗖 Individual 🗖 C | Corporation or other private gr | oup entity Government |
| 4a. The following fee(s) are a lssue Fee | enclosed: | 46 | D. Payment of F | ` ' | nalagad | |
| | mall entity discount permitte | ed) | A check in the amount of the fee(s) is enclosed. Payment by credit card. Form PTO-2038 is attached. | | | |
| Advance Order - # of Copies | | | | | charge the required fee(s), or (enclose an extra c | credit any overpayment, to |
| 5. Change in Entity Status | | • | _ | | | · · · · · · · · · · · · · · · · · · · |
| | MALL ENTITY status. See | | | | ALL ENTITY status. See 37 C | |
| The Director of the USPTO i NOTE: The Issue Fee and Pu interest as shown by the reco | is requested to apply the Issublication Fee (if required) words of the United States Pate | ne Fee and Publica vill not be accepted ent and Trademark | tion Fee (if any d from anyone Office. | or to re-apply any previous other than the applicant; a reg | ly paid issue fee to the applic sistered attorney or agent; or t | ation identified above. he assignee or other party in |
| Authorized Signature | | | <u>-</u> | Date | | |
| Typed or printed name | | | | Registration | 1 No | |
| This collection of informatio an application. Confidentiali submitting the completed apthis form and/or suggestions | n is required by 37 CFR 1.3 ty is governed by 35 U.S.C. plication form to the USPT for reducing this burden | 11. The information 122 and 37 CFR O. Time will vary to the | on is required to 1.14. This colle depending upon Chief Informa | o obtain or retain a benefit by ection is estimated to take 12 on the individual case. Any cation Officer, U.S. Patent and | the public which is to file (an minutes to complete, includiomments on the amount of the Trademark Office, U.S. Der | d by the USPTO to process) ag gathering, preparing, and me you require to complete artment of Commerce P.O. |

Box 1450, Alexandra, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-------------|-------------------------|-------------------------|------------------|
| 10/624,270 | 07/21/2003 | 07/21/2003 Ji-Young Kim | | 7485 |
| 7590 09/23/2004 | | EXAMINER | | |
| MARGER JOHNSON & McCOLLOM, P.C. | | | LE, THAO P | |
| 1030 S.W. Morrison Street Portland, OR 97205 | | | ART UNIT | PAPER NUMBER |
| | | | 2818 | |
| | | | DATE MAILED: 09/23/2004 | 4 |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---------------|----------------------|---------------------|------------------|
| 10/624,270 | 07/21/2003 | Ji-Young Kim | 4591-332 | 7485 |
| 7: | 90 09/23/2004 | | EXAM | INER |
| MARGER JOHNSON & McCOLLOM, P.C. 1030 S.W. Morrison Street | | | LE, THAO P | |
| Portland, OR 9720 | | | ART UNIT | PAPER NUMBER |
| | | | 2818 | |

DATE MAILED: 09/23/2004

Notice of Fee Increase on October 1, 2004

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2004, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" because some fees will increase effective October 1, 2004. See Revision of Patent Fees for Fiscal Year 2005; Final Rule, 69 Fed. Reg. 52604, 52606 (May 10, 2004).

The current fee schedule is accessible from WEB site (http://www.uspto.gov/main/howtofees.htm).

If the fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due" but not the correct amount in view of the fee increase, a "Notice of Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice of Pay Balance of Issue Fee," if the response to the Notice of Allowance is to be filed on or after October 1, 2004 (or mailed with a certificate of mailing on or after October 1, 2004), the issue fee paid should be the fee that is required at the time the fee is paid. See Manual of Patent Examining Procedure (MPEP), Section 1306 (Eighth Edition, Rev. 2, May 2004). If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously-paid issue fee should be paid. See MPEP Section 1308.01.

Effective October 1, 2004, 37 CFR 1.18 is amended by revising paragraphs (a) through (c) to read as set forth below.

Section 1.18 Patent post allowance (including issue) fees.

(a) Issue fee for issuing each original or reissue patent, except a design or plant patent:

| By a small entity (Sec. 1.27(a)) | \$685.00 |
|--|------------|
| By other than a small entity | \$1,370.00 |
| (b) Issue fee for issuing a design patent: | |
| By a small entity (Sec. 1.27(a)) | \$245.00 |
| By other than a small entity | \$490.00 |
| (c) Issue fee for issuing a plant patent: | |
| By a small entity (Sec. 1.27(a)) | \$330.00 |
| By other than a small entity | \$660.00 |

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.